

UNITED STATES DISTRICT COURT
EASTERN DISTRICT OF WASHINGTON

MICHAEL J. ISAACS,)
Plaintiff,) No. CV-09-125-JPH
v.) ORDER GRANTING DEFENDANT'S
MICHAEL J. ASTRUE, Commissioner) MOTION FOR SUMMARY JUDGMENT
of Social Security,)
Defendant.)
)

BEFORE THE COURT are cross-motions for summary judgment noted for hearing without oral argument on April 16, 2010 (Ct. Recs. 12,15). Attorney Maureen J. Rosette represents plaintiff; Special Assistant United States Attorney Franco L. Bacia represents the Commissioner of Social Security ("Commissioner"). The parties have consented to proceed before a magistrate judge (Ct. Rec. 5). On March 25, 2010, plaintiff filed a reply (Tr. 17). After reviewing the administrative record and the briefs filed by the parties, the court **GRANTS** Defendant's Motion for Summary Judgment (Ct. Rec. 15) and **DENIES** Plaintiff's Motion for Summary Judgment (Ct. Rec. 12).

JURISDICTION

Plaintiff applied for supplemental security income (SSI) on June 27, 2005, alleging problems with daily hygiene, low energy, difficulty concentrating, anxiety, depression, and an inability to

1 function normally in social situations (Tr. 77-79, 84, 99-100). Mr.
2 Isaacs alleges he suffers from major depressive disorder, schizoid
3 personality disorder, and high blood pressure (Tr. 99-100). His
4 application was denied initially and on reconsideration (Tr. 50-
5 52, 53-56).

6 Hearings were held February 20, 2008, and August 28, 2008,
7 before Administrative Law Judge (ALJ) Paul Gaughen. Plaintiff,
8 represented by counsel, and vocational expert Deborah N. Lapoint
9 testified (Tr. 352-366, 369-385). In his decision dated October 22,
10 2008, the ALJ found at step five plaintiff could perform work
11 other than past work (Tr. 22-33). Because he found Mr. Isaacs
12 could work, the ALJ found plaintiff not disabled as defined by the
13 Act (Tr. 32-33). On February 23, 2009, the Appeals Council denied
14 review (Tr. 3-5). Therefore, the ALJ's decision became the final
15 decision of the Commissioner, which is appealable to the district
16 court pursuant to 42 U.S.C. § 405(g). Plaintiff filed this action
17 for judicial review pursuant to 42 U.S.C. § 405(g) on April 22,
18 2009 (Ct. Rec. 1).

19 **STATEMENT OF FACTS**

20 The facts have been presented in the administrative hearing
21 transcripts, the ALJ's decision, the briefs of both parties, and
22 are summarized here.

23 Mr. Isaacs was 33 years old when he applied for benefits. He
24 graduated from high school. In 1999 he earned a B.A. degree in
25 anthropology (Tr. 122, 377-378). Plaintiff reported taking
26 prescribed medication for depression and high blood pressure. The
27 medication list admitted at the last hearing indicates lists
28 current medications as none (Tr. 84, 96, 103, 121, 346).

1 Mr. Isaacs lives alone (Tr. 133,137) but does not socialize
2 (Tr. 137-138,360-361). He describes himself as sensitive to light
3 and sound; stress makes him angry and aggressive, he has problems
4 falling asleep (Tr. 116,134,139). Daily activities include
5 playing computer games, surfing the internet, reading, listening
6 to music and watching television. Mr. Isaacs prepares easy meals.
7 He occasionally bathes and does laundry (Tr. 134-137). Plaintiff
8 leaves the house one to two times a week. He drives to the grocery
9 store weekly and to book stores and medical appointments (Tr. 137-
10 138,360-361). He goes out to dinner with his mother once a week
11 (Tr. 185).

12 Mr. Isaacs left his last job as a photo processor in October
13 of 2001 for "reasons other than his condition," which he has
14 described as long hours, transportation problems, stress, extreme
15 difficulty working with others, and problems understanding and
16 remembering directions (Tr. 100-101,116). He testified he cannot
17 work because he is unable to handle the stress of a work
18 environment and of associating with co-workers and employers. He
19 becomes angry and frustrated. He experiences anxiety every few
20 days. Plaintiff worked at his last job for three days (Tr. 357-
21 358).

SEQUENTIAL EVALUATION PROCESS

23 The Social Security Act (the "Act") defines "disability"
24 as the "inability to engage in any substantial gainful activity by
25 reason of any medically determinable physical or mental impairment
26 which can be expected to result in death or which has lasted or
27 can be expected to last for a continuous period of not less than
28 twelve months." 42 U.S.C. §§ 423(d)(1)(A), 1382c(a)(3)(A). The Act

1 also provides that a Plaintiff shall be determined to be under a
2 disability only if any impairments are of such severity that a
3 plaintiff is not only unable to do previous work but cannot,
4 considering plaintiff's age, education and work experiences,
5 engage in any other substantial gainful work which exists in the
6 national economy. 42 U.S.C. §§ 423(d)(2)(A), 1382c(a)(3)(B). Thus,
7 the definition of disability consists of both medical and
8 vocational components. *Edlund v. Massanari*, 253 F.3d 1152, 1156
9 (9th Cir. 2001).

10 The Commissioner has established a five-step sequential
11 evaluation process for determining whether a person is disabled.
12 20 C.F.R. §§ 404.1520, 416.920. Step one determines if the person
13 is engaged in substantial gainful activities. If so, benefits are
14 denied. 20 C.F.R. §§ 404.1520(a)(4)(i), 416.920(a)(4)(i). If not,
15 the decision maker proceeds to step two, which determines whether
16 plaintiff has a medically severe impairment or combination of
17 impairments. 20 C.F.R. §§ 404.1520(a)(4)(ii), 416.920(a)(4)(ii).

18 If plaintiff does not have a severe impairment or combination
19 of impairments, the disability claim is denied. If the impairment
20 is severe, the evaluation proceeds to the third step, which
21 compares plaintiff's impairment with a number of listed
22 impairments acknowledged by the Commissioner to be so severe as to
23 preclude substantial gainful activity. 20 C.F.R. §§
24 404.1520(a)(4)(ii), 416.920(a)(4)(ii); 20 C.F.R. § 404 Subpt. P
25 App. 1. If the impairment meets or equals one of the listed
26 impairments, plaintiff is conclusively presumed to be disabled. If
27 the impairment is not one conclusively presumed to be disabling,
28 the evaluation proceeds to the fourth step, which determines

1 whether the impairment prevents plaintiff from performing work
 2 which was performed in the past. If a plaintiff is able to perform
 3 previous work, that Plaintiff is deemed not disabled. 20 C.F.R. §§
 4 404.1520(a)(4)(iv), 416.920(a)(4)(iv). At this step, plaintiff's
 5 residual functional capacity ("RFC") assessment is considered. If
 6 plaintiff cannot perform this work, the fifth and final step in
 7 the process determines whether plaintiff is able to perform other
 8 work in the national economy in view of plaintiff's residual
 9 functional capacity, age, education and past work experience. 20
 10 C.F.R. §§ 404.1520(a)(4)(v), 416.920(a)(4)(v); *Bowen v. Yuckert*,
 11 482 U.S. 137 (1987).

12 The initial burden of proof rests upon plaintiff to establish
 13 a *prima facie* case of entitlement to disability benefits.

14 *Rhinehart v. Finch*, 438 F.2d 920, 921 (9th Cir. 1971); *Meanel v.*
 15 *Apfel*, 172 F.3d 1111, 1113 (9th Cir. 1999). The initial burden is
 16 met once plaintiff establishes that a physical or mental
 17 impairment prevents the performance of previous work. The burden
 18 then shifts, at step five, to the Commissioner to show that (1)
 19 plaintiff can perform other substantial gainful activity and (2) a
 20 "significant number of jobs exist in the national economy" which
 21 plaintiff can perform. *Kail v. Heckler*, 722 F.2d 1496, 1498 (9th
 22 Cir. 1984).

23 STANDARD OF REVIEW

24 Congress has provided a limited scope of judicial review of a
 25 Commissioner's decision. 42 U.S.C. § 405(g). A Court must uphold
 26 the Commissioner's decision, made through an ALJ, when the
 27 determination is not based on legal error and is supported by
 28 substantial evidence. See *Jones v. Heckler*, 760 F.2d 993, 995 (9th

1 Cir. 1985); *Tackett v. Apfel*, 180 F.3d 1094, 1097 (9th Cir. 1999).
 2 "The [Commissioner's] determination that a plaintiff is not
 3 disabled will be upheld if the findings of fact are supported by
 4 substantial evidence." *Delgado v. Heckler*, 722 F.2d 570, 572 (9th
 5 Cir. 1983)(citing 42 U.S.C. § 405(g)). Substantial evidence is
 6 more than a mere scintilla, *Sorenson v. Weinberger*, 514 F.2d 1112,
 7 1119 n. 10 (9th Cir. 1975), but less than a preponderance.
 8 *McAllister v. Sullivan*, 888 F.2d 599, 601-602 (9th Cir. 1989);
 9 *Desrosiers v. Secretary of Health and Human Services*, 846 F.2d
 10 573, 576 (9th Cir. 1988). Substantial evidence "means such
 11 evidence as a reasonable mind might accept as adequate to support
 12 a conclusion." *Richardson v. Perales*, 402 U.S. 389, 401 (1971)
 13 (citations omitted). "[S]uch inferences and conclusions as the
 14 [Commissioner] may reasonably draw from the evidence" will also be
 15 upheld. *Mark v. Celebreeze*, 348 F.2d 289, 293 (9th Cir. 1965). On
 16 review, the Court considers the record as a whole, not just the
 17 evidence supporting the decision of the Commissioner. *Weetman v.*
 18 *Sullivan*, 877 F.2d 20, 22 (9th Cir. 1989)(quoting *Kornock v.*
 19 *Harris*, 648 F.2d 525, 526 (9th Cir. 1980)).

20 It is the role of the trier of fact, not this Court, to
 21 resolve conflicts in evidence. *Richardson*, 402 U.S. at 400. If
 22 evidence supports more than one rational interpretation, the Court
 23 may not substitute its judgment for that of the Commissioner.
 24 *Tackett*, 180 F.3d at 1097; *Allen v. Heckler*, 749 F.2d 577, 579
 25 (9th Cir. 1984). Nevertheless, a decision supported by substantial
 26 evidence will still be set aside if the proper legal standards
 27 were not applied in weighing the evidence and making the decision.
 28 *Brawner v. Secretary of Health and Human Services*, 839 F.2d 432,

1 433 (9th Cir. 1987). Thus, if there is substantial evidence to
 2 support the administrative findings, or if there is conflicting
 3 evidence that will support a finding of either disability or
 4 nondisability, the finding of the Commissioner is conclusive.
 5 *Sprague v. Bowen*, 812 F.2d 1226, 1229-1230 (9th Cir. 1987).

6 **ALJ'S FINDINGS**

7 At step one, the ALJ found plaintiff did not engage in
 8 substantial gainful activity after he applied for benefits on June
 9 27, 2005 (Tr. 24). At steps two and three, ALJ Gaughen found Mr.
 10 Isaacs suffers from degenerative disc disease (DDD), hypertension
 11 under varying control, and a history of depression and personality
 12 disorder, impairments that are severe but which do not alone or
 13 combination meet or medically equal a Listed impairment (Tr. 24-
 14 29). The ALJ found plaintiff less than completely credible (Tr.
 15 30). At step four, here found because plaintiff's past work does
 16 not rise to the level of substantial gainful activity, Mr. Isaacs
 17 has no past relevant work (Tr. 31). At step five, relying on a
 18 vocational expert, the ALJ found plaintiff could perform other
 19 jobs such as kitchen helper, store laborer, and machine packager
 20 (Tr. 32). Because the ALJ Gaughen found Mr. Isaacs could perform
 21 other work, plaintiff is not disabled as defined by the Social
 22 Security Act (Tr. 32-33).

23 ///

24 **ISSUES**

25 Mr. Isaacs alleges the ALJ erred when he weighed the evidence
 26 of mental impairment.¹ This led to an RFC that failed to include

27
 28 ¹Plaintiff's opening brief asserts he "believes he
 is much more limited both from a psychological and physical

1 all plaintiff's mental limitations (Ct. Rec. 11 at 11-18, 17 at 1-
 2 5). The Commissioner responds the Court should affirm the decision
 3 because it is supported by the evidence and free of error (Ct.
 4 Rec. 16 at 17).

5 DISCUSSION

6 A. Weighing medical evidence - standards

7 In social security proceedings, the claimant must prove the
 8 existence of a physical or mental impairment by providing medical
 9 evidence consisting of signs, symptoms, and laboratory findings;
 10 the claimant's own statement of symptoms alone will not suffice.
 11 20 C.F.R. § 416.908. The effects of all symptoms must be evaluated
 12 on the basis of a medically determinable impairment which can be
 13 shown to be the cause of the symptoms. 20 C.F.R. § 416.929. Once
 14 medical evidence of an underlying impairment has been shown,
 15 medical findings are not required to support the alleged severity
 16 of symptoms. *Bunnell v. Sullivan*, 947, F. 2d 341, 345 (9th Cr.
 17 1991).

18 A treating physician's opinion is given special weight
 19 because of familiarity with the claimant and the claimant's
 20 physical condition. *Fair v. Bowen*, 885 F.2d 597, 604-05 (9th Cir.
 21 1989). However, the treating physician's opinion is not
 22 "necessarily conclusive as to either a physical condition or the
 23 ultimate issue of disability." *Magallanes v. Bowen*, 881 F.2d 747,

24
 25 standpoint" than assessed by the ALJ. He offers nothing supporting
 26 the physical limitation argument (Ct. Rec. 11 at
 27 12). Plaintiff's reply brief similarly lacks any argument the ALJ's
 28 assessed physical limitations are erroneous (Ct. Rec. 17 at 1-5).
 Accordingly, the Court deems the argument waived. The appeal is
 limited to a review of the evidence of psychological limitation.

1 751 (9th Cir. 1989)(citations omitted). More weight is given to a
 2 treating physician than an examining physician. *Lester v. Cater*,
 3 81 F.3d 821, 830 (9th Cir. 1995). Correspondingly, more weight is
 4 given to the opinions of treating and examining physicians than to
 5 nonexamining physicians. *Benecke v. Barnhart*, 379 F.3d 587, 592
 6 (9th Cir. 2004). If the treating or examining physician's opinions
 7 are not contradicted, they can be rejected only with clear and
 8 convincing reasons. *Lester*, 81 F.3d at 830. If contradicted, the
 9 ALJ may reject an opinion if he states specific, legitimate
 10 reasons that are supported by substantial evidence. See *Flaten v.*
 11 *Secretary of Health and Human Serv.*, 44 F.3d 1435, 1463 (9th Cir.
 12 1995).

13 In addition to the testimony of a nonexamining medical
 14 advisor, the ALJ must have other evidence to support a decision to
 15 reject the opinion of a treating physician, such as laboratory
 16 test results, contrary reports from examining physicians, and
 17 testimony from the claimant that was inconsistent with the
 18 treating physician's opinion. *Magallanes v. Bowen*, 881 F.2d 747,
 19 751-52 (9th Cir. 1989); *Andrews v. Shalala*, 53 F.3d 1042-43 (9th
 20 Cir. 1995).

21 **B. Treating and examining opinions**

22 Plaintiff alleges the ALJ erred when he weighed the
 23 conflicting opinions of treating and examining professionals,
 24 including Gregory Charboneau, Ed.D. (examining 2004), Brooke
 25 Sjostrom, M.A., and Mahlon Dalley, Ph.D. (examining 2005, 2006,
 26 2007 and 2009), Daniel Dami, Ph.D., PAC (treating March 2005
 27 through May 2007), Michelle McAlpin, M.S. (treating and examining
 28 2006), Joyce Everhart, Ph.D. (examining 2006), and Sean Caldwell,

1 M.S. candidate and Kayleen Islam-Zwart, Ph.D. (examining 2006)(Ct.
 2 Rec. 11 at 12-18).

3 The ALJ considered Dr. Charboneau's contradicted opinion
 4 following an exam on July 9, 2004 (about eleven months before
 5 onset)(Tr. 24-25,31; opinion at Tr. 207,276). Dr. Charboneau gave
 6 plaintiff a questionnaire for Asperger's Syndrome [designed for
 7 children] and conducted a 90 minute interview. He diagnosed major
 8 depressive disorder, single episode, unspecified, Asperger's
 9 Syndrome, and assessed a GAF of 62 (Tr. 207-217, 276). Dr.
 10 Charboneau opined plaintiff

11 appears to be of above-average general intelligence
 12 who may be able to reason, understand, and remember
 13 basic and simplified information commonly exchanged
 14 in a work environment. However, his disorders will
 15 limit his ability to seek or maintain employment in
 16 a competitive job market.

17 (Tr. 276).

18 The ALJ considered the contradicted opinion of Abigail
 19 Osborne-Elmer, M.S. and Dr. Dalley following their GAU exam on
 20 March 22, 2007 (Tr. 27, referring to Tr. 142-150). The ALJ
 21 observes

22 No changes in diagnosis or global assessment of
 23 functioning were noted since the previous exam
 24 [by Osborne, Caldwell, and Dr. Zwart on May 10,
 25 2006 at Tr. 151-160, duplicated at 282-291].
 26 Marked limitations in social factors were noted.
 27 It was opined that due to the chronic nature of
 28 his condition and the lack of gainful employment,
 his mood and personality disorder would not improve
 in the next 12 months and placement on the SSI track
 was recommended. (Exhibit 1F/2-10)

29 (Tr. 27).

30 The ALJ is correct. In 2006 and 2007 the GAU examiners
 31 diagnosed major depressive disorder (recurrent, moderate) and
 32 schizoid personality disorder, with a current GAF of 53 (Tr.

1 143,148,283,289).

2 The ALJ gave several reasons for rejecting the opinions of
3 the GAU examiners and accepting instead some of the contradicted
4 opinions of examining psychologist Dr. Everhart and of treating
5 professionals (Tr. 26-27, 30-31, referring to Tr. 181-187). The
6 ALJ opined the findings following the GAU exams are unsupported by
7 "reference to standardized testing," the GAU reports are from non-
8 treating sources, and they are inconsistent with Dr. Everhart's
9 more detailed report (Tr. 31).

10 In her March of 2006 report, Dr. Everhart diagnosed major
11 depression (recurrent, mild to moderate, somewhat controlled with
12 medication), anxiety disorder NOS (currently severe) and
13 personality disorder NOS with avoidant features. She opined
14 plaintiff's current and highest GAF in the past year was 55-60
15 (Tr. 186).

16 Prior to offering a diagnosis, Dr. Everhart reviewed (1) the
17 March 2005 report by Sjostrom and Dalley, indicating results of
18 Trails and MMPI-2 testing; (2) the July 2004 report by Dr.
19 Charboneau, noting plaintiff was given the "Australian Scale for
20 Asperger's Syndrome, a questionnaire by Garnett & Atwood," without
21 listing numerical results, and (3) an October 2005 report by
22 plaintiff's mother (Tr. 181-182). Dr. Everhart noted Mr. Isaac has
23 no history of psychiatric hospitalization, says his problems are
24 caused by stress, and is currently seeing a counselor in Spokane
25 he thinks is helpful "but not enough"; similarly, plaintiff feels
26 medication for depression is not working (Tr. 181).

27 From Dr. Everhart's report alone it is clear the ALJ erred in
28 rejecting the GAU reports as unsupported by reference to

1 standardized testing, since she observes Sjostrom and Malley's
2 March of 2005 report contains results of Trails A and B and MMPI-2
3 testing. Plaintiff is correct that this reason is not supported by
4 the record. However, the error is harmless. An error is harmless
5 when correcting it would not alter the result. See *Johnson v.*
6 *Shalala*, 60 F.3d 1428, 1436 n.9 (9th Cir. 1995). In light of the
7 ALJ's other specific, legitimate and fully supported reasons, and
8 rest of the record, the court believes correcting this error would
9 not change the result.

10 The ALJ correctly observes the GAU examiners are not treating
11 sources. In context, the court views the ALJ's reason as
12 indicating a preference for the opinions of the treating
13 professionals [discussed below] over those of examiners, as
14 required. See e.g., *Lester v. Chater*, 81 F.3d at 830. The ALJ is
15 correct some of the treating source opinions and observations
16 contradict the severe and marked limitations assessed by the GAU
17 examiners.

18 The ALJ's third reason for preferring Dr. Everhart's report
19 is its greater detail (Tr. 31). Plaintiff argues because Dr.
20 Everhart gave plaintiff the Trails A and B and Hamilton Rating
21 Scale for Depression, her exam was less thorough than those
22 conducted by the GAU examiners because the latter performed more
23 extensive objective testing (Ct. Rec. 11 at 16). Plaintiff is
24 incorrect in that Dr. Everhart administered three additional tests
25 noted by the ALJ: the Burns Anxiety Inventory, serial sevens, and
26 spelling world backward (Tr. 26, referring to Tr. 184-187). It
27 appears the GAU group gave plaintiff at most four tests, including
28 the more extensive MMPI-2; they note plaintiff's responses gained

1 speed as they gave the same test multiple times (Tr. 14, 147, 156,
 2 272, 287).

3 To aid in weighing the conflicting medical evidence, the
 4 ALJ assessed plaintiff's credibility (Tr. 30), an unchallenged
 5 finding. Credibility determinations bear on evaluations of medical
 6 evidence when an ALJ is presented with conflicting medical
 7 opinions or inconsistency between a claimant's subjective
 8 complaints and diagnosed condition. See *Webb v. Barnhart*, 433 F.3d
 9 683, 688 (9th Cir. 2005).

10 It is the province of the ALJ to make credibility
 11 determinations. *Andrews v. Shalala*, 53 F.3d 1035, 1039 (9th Cir.
 12 1995). However, the ALJ's findings must be supported by specific
 13 cogent reasons. *Rashad v. Sullivan*, 903 F.2d 1229, 1231 (9th Cir.
 14 1990). Once the claimant produces medical evidence of an
 15 underlying medical impairment, the ALJ may not discredit testimony
 16 as to the severity of an impairment because it is unsupported by
 17 medical evidence. *Reddick v. Chater*, 157 F.3d 715, 722 (9th Cir.
 18 1998). Absent affirmative evidence of malingering, the ALJ's
 19 reasons for rejecting the claimant's
 20 testimony must be "clear and convincing." *Lester v. Chater*, 81
 21 F.3d 821, 834 (9th Cir. 1995). "General findings are insufficient:
 22 rather the ALJ must identify what testimony not credible and what
 23 evidence undermines the claimant's complaints." *Lester*, 81 F.3d at
 24 834; *Dodrill v. Shalala*, 12 F.3d 915, 918 (9th Cir. 1993).

25 The ALJ gave clear and convincing reasons for his
 26 unchallenged credibility assessment, including (1) testimony
 27 inconsistent with statements to health care professionals; (2)
 28 complaints unsupported by the evidence, and (3) activities

1 inconsistent with claimed limitations (Tr. 30).

2 Plaintiff testified he left his last job due to difficulties
3 with people. He told Dr. Everhart he quit because it was
4 impossible to get any rest due to being in the darkroom 12 hours a
5 day. The ALJ's reason is supported by the record (Tr. 30,
6 referring to Tr. 183).

7 Plaintiff testified he has problems with concentration. The
8 ALJ observes psychiatric testing shows concentration, attention
9 and intellectual ability are within normal limits (Tr. 30; Tr.
10 187, 207). ALJ Gaughen opines plaintiff's claims of impaired
11 concentration are undermined by spending hours at a time playing
12 computer games, indicating good concentration (Tr. 30; 272).

13 Each reason is clear, convincing and supported by substantial
14 evidence. See *Thomas v. Barnhart*, 278 F.3d 947, 958-959 (9th Cir.
15 2002)(proper factors include inconsistencies in plaintiff's
16 statements, inconsistencies between statements and conduct, and
17 extent of daily activities). While an ALJ may not reject a
18 claimant's subjective complaints based solely on a lack of
19 objective medical evidence, it is a proper factor to consider. See
20 *Bunnell v. Sullivan*, 947 F.2d 341, 345 (9th Cir. 1991)(en banc).

21 In assessing the conflicting evidence the ALJ observes
22 opinions vary as to the limiting effects of depression and social
23 impairment (Tr. 31). Dr. Everhart assessed a GAF of 55-60 in 2006,
24 indicating moderate symptoms or difficulty (Tr. 271). In August
25 2004, well before onset, Dr. Charboneau assessed a GAF of 62
26 indicative of only mild to moderate symptomology or impairment of
27 functioning (Tr. 207). As noted, in 2005, Dr. Dalley assessed a
28 GAF of 50 when plaintiff took no medication (Tr. 274).

1 The ALJ points out treating sources indicate plaintiff's
2 depressive symptoms have improved with medication (Tr. 30). In
3 February of 2004 (sixteen months before onset) Mr. Isaacs reported
4 he stopped taking his medication in July, and had depressive
5 symptoms one week later (Tr. 253). It appears these symptoms did
6 not cause plaintiff to seek medication until seven months later.
7 Unexplained reasons for failing to seek medical care cast doubt on
8 a claimant's subjective complaints. 20 C.F.R.
9 §§ 404.1530, 426.930; *Fair v. Bowen*, 885 F.2d 597, 603 (9th Cir.
10 1989).

11 In April of 2003 plaintiff told Julie Ponti, PAC, he was on
12 prozac in the past and it was the best. He was "most bothered by
13 not wanting to do anything," and "this was much improved by the
14 prozac" (Tr. 259).

15 When evaluated by Dr. Dalley in March of 2005 (3 months
16 before onset), plaintiff reported he took no psychotropic
17 medication and was not in mental health treatment (Tr. 272, 275).
18 Dr. Dalley assessed a GAF of 50 (Tr. 274). Even so, Dr. Dalley
19 expected plaintiff's marked and moderate impairments would last no
20 more than six months and that when symptoms stabilized (i.e., with
21 medication) plaintiff may be able to tolerate the pressures and
22 expectations of a work environment requiring minimal contact with
23 others (Tr. 270, 275).

24 Treating Dr. Dami opined with Dr. Dalley's March 2005
25 diagnosis it would be somewhat difficult for plaintiff to gain and
26 keep employment "although he did do pretty well with prozac in the
27 past" (Tr. 224). He disagreed with assessed schizoid personality
28 disorder but agreed there could be early signs (Id; Tr. 25). Dr.

1 Dami began plaintiff's prozac prescription again on June 8, 2005,
 2 three weeks before onset (Tr. 224).

3 Dr. Islam-Zwart's May 2006 report is internally inconsistent.
 4 She indicates plaintiff is not currently taking medications (Tr.
 5 284) and takes prozac and cymbalta for depression he thinks is
 6 "ineffective" (Tr. 287). In June of 2006, treating Dr. Dami notes
 7 plaintiff is doing pretty well only taking prozac (Tr. 304); in
 8 March of 2007, "doing quite well" (Tr. 314), and, on May 21, 2007,
 9 plaintiff "feels he's doing well" (Tr. 318).

10 The ALJ observes Dr. Dalley's 2005 report apparently defines
 11 "marked limitations" differently from the Social Security
 12 Administration. Dr. Dalley opined mental health and medication
 13 intervention would likely restore plaintiff's ability to work in
 14 an environment requiring minimal contact with others (Tr. 270,
 15 275); this was equated to *marked limitations* in social
 16 functioning (Tr. 25, referring to Exhibit 1F/127-135)(emphasis
 17 added).

18 This is another specific, legitimate reason supporting the
 19 weight the ALJ gave Dr. Dalley's opinion.

20 RFC

21 The ALJ's RFC included the following mental limitation:

22 "He can't do higher level or sophisticated social interaction
 23 either with co-workers in a leadership type or management role or
 24 with the retail public. But perfunctory social interaction can be
 25 accomplished without any significant problem or limitation."
 26 (Tr. 380).

27 The VE noted the limitation "concerning higher level social
 28 interaction," and indicated she named jobs with "a focus on

1 working with things" more than working with people (Tr. 381).

2 In the court's view the assessed RFC is supported by the
 3 record. To the extent the ALJ rejected the contradicted opinions
 4 of some of the examining psychologists, his reasons are
 5 legitimate, specific, and supported by substantial evidence in the
 6 record. See *Lester v. Chater*, 81 F.3d at 830-831 (holding that the
 7 ALJ must make findings setting forth specific, legitimate reasons
 8 for rejecting the treating physician's contradicted opinion).

9 As noted, Mr. Isaacs has the concentration to play computer
 10 games, check internet sites daily, send text messages, read, watch
 11 television and drive (Tr. 107,109-110,133,136-137,185,360-361). He
 12 is able to shop for groceries weekly, dine out with his mother
 13 once a week, and go to bookstores (Tr. 106,109-110,136,360). He
 14 completes activities of daily living including laundry and making
 15 simple meals (Tr. 108-109,133,135).

16 The evidence of grooming conflicts. The ALJ observes (1) Dr.
 17 Everhart describes grooming as fair; (2) hygiene is inadequate
 18 (Sjostrom & Dalley, 3/05 at Tr. 271), and (3) hygiene is adequate
 19 (examining physician Robert Rose, M.D., 3/08)(Tr. 25-26, 28).

20 The trier of fact, and not the reviewing court, must resolve
 21 conflicts in the evidence and, if the evidence can support either
 22 outcome, the court may not substitute its judgment for that of the
 23 ALJ. *Matney v. Sullivan*, 981 F.2d 1016, 1019 (9th Cir. 1992); *Burch*
 24 *v. Barnhart*, 400 F.3d 676, 679 (9th Cir. 2005).

25 The ALJ appropriately relied on the record as a whole when
 26 assessing plaintiff's RFC, including noting plaintiff's lack of
 27 compliance and follow through with taking medication for
 28 depression and high blood pressure, as well the efficacy of

1 medication when taken properly (Tr. 24-28, citing treatment
2 records from 2003-August 2007). As noted, noncompliance with
3 medical care or unexplained or inadequately explained reasons for
4 failing to seek medical treatment cast doubt on a claimant's
5 subjective complaints. 20 CFR §§ 404.1530, 426.930; *Fair v. Bowen*,
6 885 F.2d at 603. Impairments that can be effectively controlled
7 with medication are not disabling for the purpose of determining
8 eligibility for benefits. *Warre v. Comm'r of Soc. Sec. Admin.*, 439
9 F.3d 1001, 1006 (9th Cir. 2006).

10 Although he assessed social limitations, the ALJ observes
11 plaintiff has said he lost touch with friends or they moved away
12 (Tr. 25, referring to Exhibit 1F/127-135), perhaps indicating a
13 higher degree of socialization than Mr. Isaacs has generally
14 presented. The ALJ's RFC and question to the VE appear to
15 adequately take plaintiff's social limitations into account.

16 Plaintiff's argument the ALJ erred by failing to include Dr.
17 Everhart's assessed limitations of "low persistence," great
18 difficulty dealing with the public, and difficulty dealing with
19 co-workers in his hypothetical (Ct. Rec. 11 at 16) is unsupported
20 by the record. The ALJ specifically limited plaintiff to
21 perfunctory social interaction (Tr. 380). With respect to Dr.
22 Everhart's assessed low persistence due to "anxiety and history of
23 depression," the ALJ notes she opined plaintiff has the ability to
24 complete one or two-step tasks of a repetitive nature, complex
25 multi step tasks, and his pace was good (Tr. 27). It appears Dr.
26 Everhart relied at least in part on plaintiff's responses to the
27 Burns Anxiety Inventory when she diagnosed anxiety disorder NOS,
28 currently severe (Tr. 26, 186). The ALJ was not required to credit

1 opinions based on plaintiff's unreliable self-reporting. *Bayliss*
2 v. *Barnhart*, 427 F.3d 1211, 1216 (9th Cir. 2005).

3 The record contains an opinion by examining psychologists
4 Ms. Sjostrom and Dr. Dalley dated four months after the ALJ's
5 adverse decision (Tr. 9-17). This opinion is of minimal probative
6 value and does not compel a different result. After-the-fact
7 psychiatric diagnoses are notoriously unreliable. See *Vincent v.*
8 *Heckler*, 739 F.2d 1393, 1395 (9th Cir. 1984).

9 The ALJ's assessment of the evidence of mental limitation is
10 supported by the record and free of harmful legal error.

11 **CONCLUSION**

12 Having reviewed the record and the ALJ's conclusions, this
13 Court finds the ALJ's decision is free of legal error and
14 supported by substantial evidence..

15 **IT IS ORDERED:**

16 1. Defendant's Motion for Summary Judgment (**Ct. Rec. 15**) is
17 **GRANTED**.

18 2. Plaintiff's Motion for Summary Judgment (**Ct. Rec. 10**) is
19 **DENIED**.

20 The District Court Executive is directed to file this Order,
21 provide copies to counsel for Plaintiff and Defendant, enter
22 judgment in favor of Defendant, and **CLOSE** this file.

23 DATED this 15th day of June, 2010.

24
25 s/ James P. Hutton

26 JAMES P. HUTTON
27 UNITED STATES MAGISTRATE JUDGE
28